

# Synthesis and Design of Generalized Strongly Coupled Resonator Quartet Combine Filters with Redundant Resonance



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**Abstract:** This article proposes a generalized strongly coupled resonator quartet (GSCRQ) filter along with its synthesis approach. By introducing out-of-band reflection zeros (RZs), the proposed GSCRQ can generate a transmission zero on each side of the passband without negative couplings. The coupling coefficients in this coupling structure change with the positions of the out-of-band RZs. Thus, the GSCRQ configuration admits flexible design solutions. For GSCRQ coaxial combine filters, all couplings can be implemented as inductive couplings, simplifying the design and manufacturing process. In this article, a 6-2 filter in the GSCRQ configuration is synthesized and designed. The simulated results of the designed filter agree very well with the theoretical characteristics.

**Keywords:** filter synthesis; generalized strongly coupled resonator quartets (GSCRQ); out-of-band reflection zero; transmission zero

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## 1 Introduction

Transmission zeros (TZs) at finite frequencies are commonly seen in modern microwave filters. They can improve frequency selectivity and out-of-band rejection of bandpass filters. Nowadays, cross-couplings are the most commonly used method to realize TZs. Frequently used cross-coupled structures include trisections, quartets, and box sections<sup>[1-3]</sup>. Cross-coupled configurations generate TZs by multi-path cancellation. TZs are generated when the combined signals interfere destructively at the combining node. A trisection or a box section can realize only one TZ on the imaginary axis. A quartet can introduce two TZs, and the two TZs can be on the imaginary axis or form a para-conjugate pair. Usually, when the generalized Chebyshev filter has TZs on the lower side of the passband, at least one of the couplings in the trisection or quartet has to be negative. Negative cou-

plings in coaxial combine filters are often realized by capacitive probes. The capacitive probe increases complexity, reduces power handling capability, and is inconvenient for post-production tuning.

Macchiarella et al. proposed strongly coupled resonator pairs (SCRPs)<sup>[4]</sup> and strongly coupled resonator triplets (SCRT)<sup>[5]</sup>. Compared with traditional filters, strongly coupled filter configurations consist solely of positive couplings, even though TZs on the lower stopband are realized. It is also interesting to note that there is a reflection zero (RZ) in the lower stopband. Zeng<sup>[6]</sup> introduced an out-of-band RZ into the synthesis of equi-ripple filtering functions, enabling the SCRT structure to be synthesized directly by coupling matrix transformations. This synthesis approach addresses the limitation that the out-of-band RZ of the SCRT must be designed far from the passband. The synthesized structure is named the generalized strongly coupled resonator triplet (GSCRT). Recently, a coaxial combine filter design was proposed based on the strongly coupled resonator quadruplet (SCRQ)<sup>[7]</sup>. The SCRQ consists of an SCRT and a regularly coupled fourth resonator. However, there is no analytical synthesis method

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for SCRQ filters.

This work presents the synthesis and design of a generalized strongly coupled resonator quartet (GSCRQ), where all couplings can be positive, as determined by the synthesis procedure. Compared with SCRQ, GSCRQ can arbitrarily specify the location of the out-of-band RZ to achieve a flexible topology configuration. First, the working mechanism of GSCRQ at the circuit level is analyzed, and the relationship between the TZs and couplings (self-coupling and mutual coupling) is explained. Then, a direct matrix synthesis approach for filters with GSCRQ is presented. In addition, this paper proposes a parameter-extraction method for filters with out-of-band RZs. The method can aid the design and tuning process of filters with GSCRQ. The filter-tuning process is faster than port-tuning<sup>[8]</sup>. Finally, a sixth-order filter with a GSCRQ is synthesized and the simulation results show good agreement with the synthesized response.

## 2 Filters with GSCRQ

In order to understand the working mechanism of the GSCRQ configuration, we first analyze the circuit model of the quartet shown in Fig. 1. The TZs are generated by the couplings among resonators 1 - 4. The admittance matrix  $Y$  between nodes 1 and 4 is

$$Y = \frac{1}{s + jB_2} \begin{bmatrix} M_{12}^2 & M_{12}M_{24} \\ M_{12}M_{24} & M_{24}^2 \end{bmatrix} + \begin{bmatrix} 0 & jM_{14} \\ jM_{14} & 0 \end{bmatrix} + \frac{1}{s + jB_3} \begin{bmatrix} M_{13}^2 & M_{13}M_{34} \\ M_{13}M_{34} & M_{34}^2 \end{bmatrix} = \frac{1}{(s + jB_2)(s + jB_3)} \times \begin{bmatrix} \dots & (M_{12}M_{24} + jM_{14}s - B_2M_{14})(s + jB_3) + (s + jB_2)(M_{13}M_{34}) \\ \dots & \dots \end{bmatrix} \quad (1)$$

Equating the numerator polynomial of  $Y_{12}$  to zero, we can identify the TZs generated by the GSCRQ as:

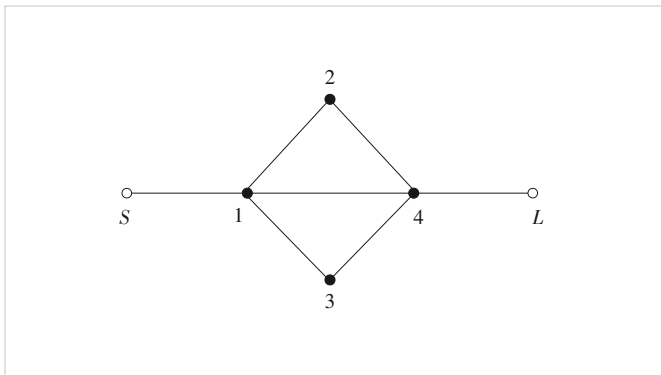


Figure 1. Topology of quartets. Black nodes represent resonators, and solid lines represent couplings

$$s_{TZ} = \frac{j}{2M_{14}} \times \left( \frac{(M_{12}M_{24} + M_{13}M_{34} - M_{14}(B_2 + B_3)) \pm \sqrt{(M_{12}M_{24} - B_2M_{14} - B_3M_{14} + M_{13}M_{34})^2 + 4M_{14}(B_3M_{12}M_{24} - B_3B_2M_{14} + B_2M_{13}M_{34})}}{2M_{14}} \right) \quad (2)$$

Empirically, for the quartet shown in Fig. 1, whether the TZs are located in the upper or lower stopband, there will exist a negative coupling. According to Eq. (2), it can be seen that the mutual couplings and self-couplings interact with each other to generate two TZs. We consider introducing out-of-band RZs to realize TZs while keeping all the couplings in the quartet positive.

### 2.1 Remez-Like Algorithm

Lowpass prototype filter responses can be defined as the ratio of polynomials  $E(s)$ ,  $F(s)$ ,  $F_{22}(s)$  and  $P(s)$  as:

$$S = \frac{1}{E(s)} \begin{bmatrix} F(s)/\varepsilon_R & P(s)/\varepsilon \\ P(s)/\varepsilon_R & F_{22}(s)/\varepsilon_R \end{bmatrix} \quad (3)$$

where  $\varepsilon$  and  $\varepsilon_R$  are positive real constants such that the absolute values of the highest-order coefficients in  $E(s)$ ,  $F(s)$ ,  $F_{22}(s)$  and  $P(s)$  can be normalized.

To synthesize a filter with out-of-band RZs, a Remez-like iterative algorithm can be used. The polynomials  $F_{out}(\omega)$ ,  $F_{in}(\omega)$ , and  $P(\omega)$  are defined as:

$$F_{out}(\omega) = \prod_{i=1}^{nc} (\omega - \omega_{out,i}) \quad (4a)$$

$$F_{in}(\omega) = \prod_{i=1}^{np-nc} (\omega - \omega_{in,i}) \quad (4b)$$

$$P(\omega) = \prod_{i=1}^{nz} (\omega - \omega_{nz}) \quad (4c)$$

where  $np$  is the order of the filter,  $nc$  is the number of out-of-band RZs,  $\omega_{in,i}$  denotes in-band RZs,  $\omega_{nz}$  and  $\omega_{out,i}$  are the assigned TZs and out-of-band RZs, respectively. In the Remez-like algorithm,  $F_{out}(\omega)$  is completely determined by the assigned out-of-band RZs. We seek the  $np-nc$  unknown coefficients  $\{a_i\}$  of  $F_{in}(\omega)$  such that the characteristic function  $C(\omega) = F_{out}(\omega)F_{in}(\omega)/P(\omega)$  is equiripple in the passband. The filtering synthesis procedure then proceeds as follows.

Step 1: Solve the linear system comprising  $np-nc+1$  equations with the  $np-nc+1$  unknowns  $[\{a_i\}, \Delta]$ :

$$\begin{cases} C(-1) = \Delta \\ C(\Omega_k) = (-1)^k \Delta \quad k = 1, \dots, np - nc - 1 \\ C(1) = (-1)^{np-nc} \Delta \end{cases} \quad (5)$$

where  $\Delta$  is the amplitude of the in-band ripple of  $C(\omega)$  and  $\Omega_k$  are the extreme points. The equations in Eq. (5) can be rewritten in a matrix form as:

$$\begin{bmatrix} \Omega_1^{np-nc-1} & \Omega_1^{np-nc-2} & \cdots & 1 & -P(\Omega_1)/F_{\text{out}}(\Omega_1) \\ \Omega_2^{np-nc-1} & \Omega_2^{np-nc-2} & \cdots & 1 & P(\Omega_2)/F_{\text{out}}(\Omega_2) \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \Omega_{np+1}^{np-nc-1} & \Omega_{np+1}^{np-nc-2} & \cdots & 1 & (-1)^{np-nc+1}P(\Omega_{np+1})/F_{\text{out}}(\Omega_{np+1}) \end{bmatrix} \quad (6),$$

$$\begin{bmatrix} a_{np-nc-1} \\ a_{np-nc-2} \\ \vdots \\ a_0 \\ \Delta \end{bmatrix} = \begin{bmatrix} -\Omega_1^{np-nc} \\ -\Omega_2^{np-nc} \\ \vdots \\ -\Omega_{np+1}^{np-nc} \end{bmatrix}$$

where  $a_i$  ( $i = np-nc-1, \dots, 0$ ) are the coefficients of the polynomial  $F_{\text{in}}(\omega)$ .

Step 2: After obtaining the coefficients  $a_i$ , update the locations of the in-band extrema  $\Omega'$  by solving  $(\partial C(\omega)/\partial \omega) = 0$ .

Step 3: Repeat Steps 1 and 2 until convergence is achieved, i.e.,  $|\Omega - \Omega'|$  is sufficiently close to zero. At convergence, the coefficients  $\{a_i\}$  of  $F_{\text{in}}(\omega)$  are stable. The polynomial  $F(\omega)$  is then constructed as:

$$F(\omega) = F_{\text{in}}(\omega)F_{\text{out}}(\omega) \quad (7).$$

Step 4: Calculate  $\varepsilon$  and  $\varepsilon_R$  using

$$\varepsilon_R = 1, \quad \varepsilon = \frac{1}{\sqrt{10^{\text{RL}/10} - 1}} \left| \frac{P(\omega)}{F(\omega)} \right|_{\omega=\pm 1} \quad (8),$$

where RL is the desired return loss level.

The monic polynomial  $E(\omega)$  is obtained from  $P(\omega)$  and  $F(\omega)$  through the Feldtkeller equation:

$$E(\omega)E(\omega)^* = F(\omega)F(\omega)^*/\varepsilon_R^2 + P(\omega)P(\omega)^*/\varepsilon^2 \quad (9).$$

We can solve the right-hand side of Eq. (9) to obtain  $2np$  roots, from which those with positive imaginary parts are selected to construct  $E(\omega)$ . Alternatively, a more robust computational method has been recently proposed in Ref. [9] to accurately solve the Feldtkeller equation for high-order networks. After  $E(\omega)$  is obtained, the polynomials  $F(s)$ ,  $P(s)$  and  $E(s)$  are formed by the variable substitution  $\omega = s/j$ . If the coefficients of the highest order terms of these polynomials, obtained by variable substitution, are not equal to 1, they should be normalized by dividing the coefficient of the highest order terms. It should be noted that when  $np-nc$  is even,  $P(s)$  is multiplied by  $j$  to satisfy the unitary condition, ensuring its highest-order coefficient is  $j^{[10]}$ . Finally, the polynomial  $F_{22}(s)$  is given by

$$F_{22}(s) = (-1)^{np} F(s)^* \quad (10),$$

where  $(*)$  denotes polynomial para-conjugation.

After the Chebyshev-like polynomials are synthesized, the  $np + 2$  transversal coupling matrix can be synthesized using the well-established technique described in Ref. [10]. The GSCRQ coupling topology is then obtained by a sequence of similarity transformations from the transversal coupling matrix.

The synthesis process properties of GSCRQ will be demonstrated and discussed in the following sections.

## 2.2 A Synthesis Example of GSCRQ Filters

Consider an example with  $np = 7$  poles,  $nc = 1$  out-of-band RZ, and TZs at  $\{s_{\text{TZ}}\} = \{-1.36j, 1.25j\}$ . The return loss level is RL = 20 dB, and the assigned out-of-band RZ is  $s_{\text{out}} = -8.26j$ .

First,  $P(\omega)$  and  $F_{\text{out}}(\omega)$  are obtained from the prescribed TZs and the out-of-band RZ, respectively:

$$P(\omega) = (\omega + 1.36)(\omega - 1.25) = \omega^2 + 0.11\omega - 1.7 \quad (11a),$$

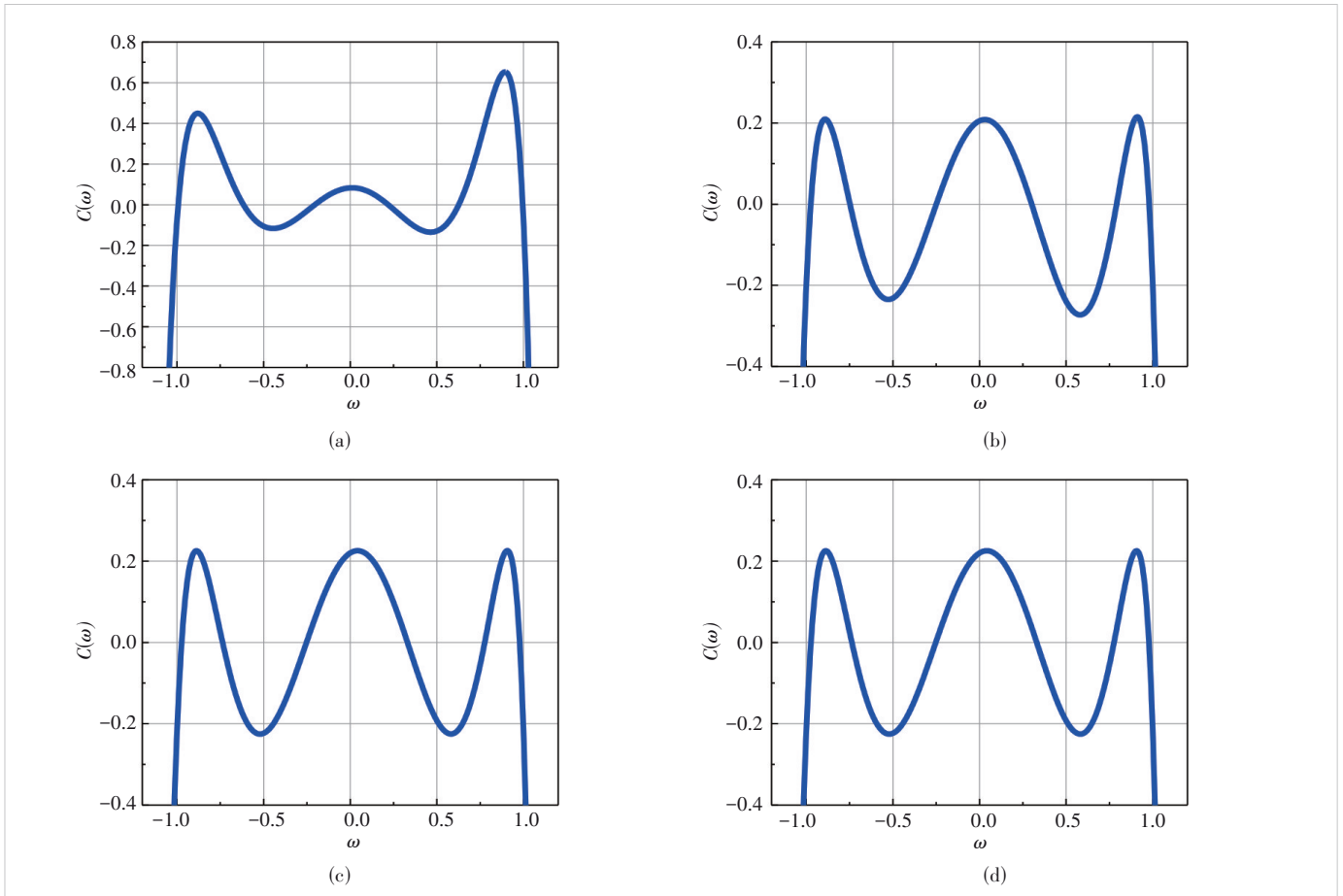
$$F_{\text{out}}(\omega) = \omega + 8.26 \quad (11b).$$

We begin with an initial guess that positions of in-band extrema  $\{\Omega_k\}$  are evenly distributed over  $[-1, 1]$  rad/s; thus, the initial set is  $\Omega = [-1, -2/3, -1/3, 0, 1/3, 2/3, 1]$ . In practice, we can arbitrarily choose  $N-1$  (where  $N$  is the filter order) different extrema within  $(-1, 1)$ , and our experiments show that the proposed method converges to the correct result. Solving Eq. (6) yields the function  $F_{\text{in}}(\omega)$  as:

$$F_{\text{in}}(\omega) = \omega^6 - 0.0285\omega^5 - 1.4182\omega^4 + 0.0329\omega^3 + 0.4425\omega^2 - 0.0063\omega - 0.0171 \quad (12).$$

By solving  $(\partial C(\omega)/\partial \omega) = 0$ , we obtain updated positions of in-band extrema:  $\Omega' = [-6.5952, -1.5927, -0.8795, -0.4448, 0.0110, 0.4639, 0.8933, 1.4268]$ . Then, the five frequencies lying within the passband are selected and, together with the two band edge frequencies  $-1$  and  $1$ , form the seven extremal points for the next iteration:  $\Omega = [-1, -0.8795, -0.4448, 0.0110, 0.4639, 0.8933, 1]$  rad/s. This process is repeated for 10 iterations, after which the extremal locations converge to stable values. Meanwhile, the coefficients  $\{a_i\}$  of  $F_{\text{in}}(\omega)$  are determined. Fig. 2 shows the results of the first four iterations. It can be found that  $C(\omega)$  is stable in the fourth iteration. Then,  $F(\omega)$  and  $\varepsilon$  are calculated using Eqs. (7) and (8), respectively.  $E(\omega)$  is solved from the Feldtkeller equation. Finally,  $F(\omega)$ ,  $P(\omega)$ , and  $E(\omega)$  are transformed into  $F(s)$ ,  $P(s)$ , and  $E(s)$ . The coefficients of the final polynomials are listed in Table 1, and the filter response is shown in Fig. 3.

A transversal coupling matrix is synthesized from the polynomials. Then, the coupling topology shown in Fig. 4a is obtained through a series of rotation transformations (Table 2). Specifically, Steps 1 - 21 synthesize an "arrow" topology, while Steps 22 - 30 form a quartet among nodes 2 to 5. In



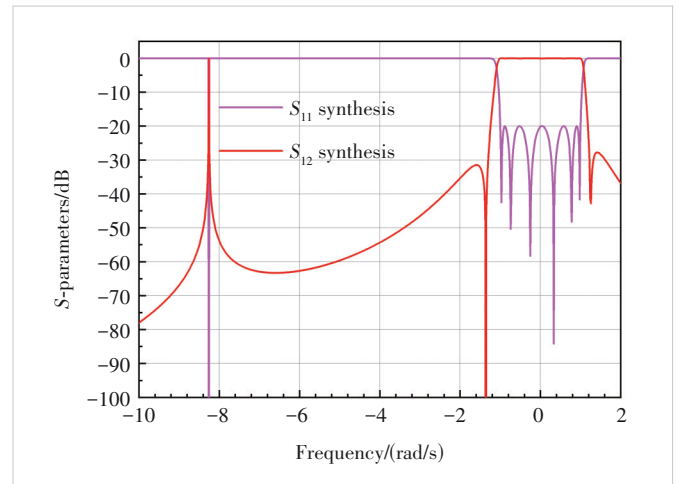
**Figure 2. Iterations of Remez-like algorithm in solving the equi-ripple function for the 7th-order filter with an out-of-band RZ: (a) the first iteration; (b) the second iteration; (c) the third iteration; (d) the fourth iteration**

**Table 1. Coefficients of  $F(s)$ ,  $P(s)$ , and  $E(s)$  of seven-poles with one upper TZ and one lower TZ**

$s^i, i =$	$F(s) (F_{22}(s))$	$P(s)$	$E(s)$
0	0.373 8j	1.700 0	0.868 3 + 3.731 5j
1	0.422 9	0.110 0j	2.769 1 + 12.801 1j
2	5.464 5j	1.000 0	4.956 1 + 24.638 4j
3	2.012 0		6.466 5 + 30.408 0j
4	13.070 5j		5.796 9 + 28.889 2j
5	2.613 9		4.560 8 + 16.045 3j
6	8.137 5j		1.973 3 + 8.137 5j
7	1.000 0		1.000 0
$\varepsilon_R = 1.000 0$		$\varepsilon = 0.445 8$	

TZ: transmission zero

Fig. 4, the numerical values without underscores represent mutual and I/O couplings, whereas those with underscores denote self-couplings. Notably, all mutual coupling values are positive. Therefore, in the physical implementation, all the inter-resonator couplings can be realized as inductive couplings.



**Figure 3. Synthesized response of the 7th-order filter with an out-of-band RZ**

### 2.3 Impact of Out-of-Band RZ

To observe the impact of out-of-band RZ on the filter response, we compare the proposed 7th-order RZ filter (Fig. 4a)

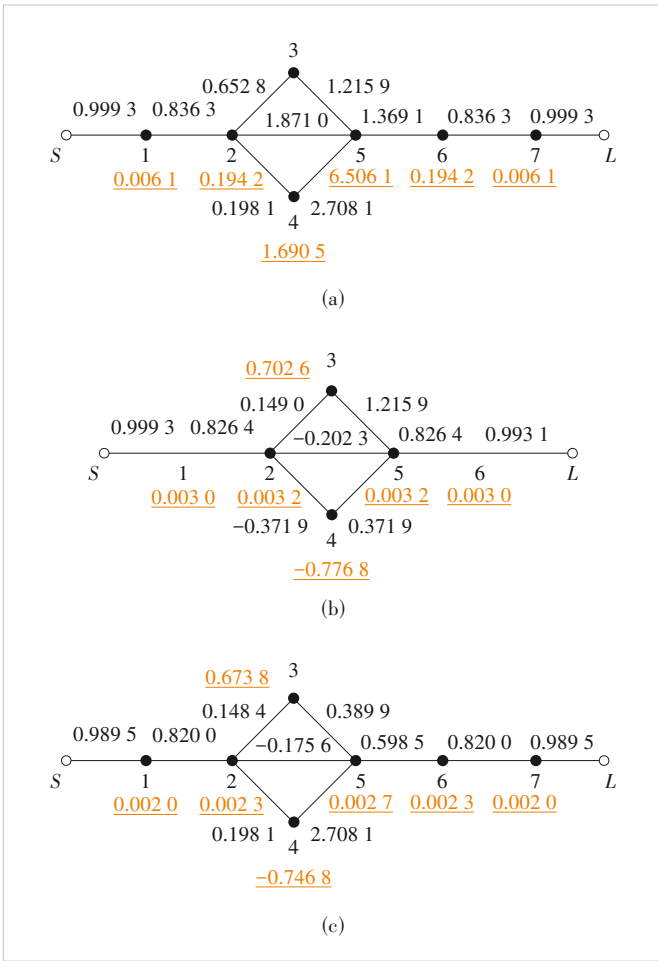


Figure 4. Topology with quartet: (a) 7-2 (7 RZs and 2 TZs) filter with an out-of-band RZ; (b) 6-2 filter; (c) 7-2 filter

Table 2. Rotation sequence to the filter in Fig. 4a

Rotation sequence	Elements to be annihilated	Pivot [i, j]	Rotation sequence	Elements to be annihilated	Pivot [i, j]
1	$M_{57}$	[7, 6]	16	$M_{37}$	[7, 6]
2	$M_{36}$	[6, 5]	17	$M_{36}$	[6, 5]
3	$M_{55}$	[5, 4]	18	$M_{35}$	[5, 4]
4	$M_{34}$	[4, 3]	19	$M_{47}$	[7, 6]
5	$M_{53}$	[3, 2]	20	$M_{46}$	[6, 5]
6	$M_{52}$	[2, 1]	21	$M_{57}$	[7, 6]
7	$M_{17}$	[7, 6]	22	$M_{5L}$	[5, 6]
8	$M_{16}$	[6, 5]	23	$M_{6L}$	[6, 7]
9	$M_{15}$	[5, 4]	24	$M_{46}$	[5, 6]
10	$M_{14}$	[4, 3]	25	$M_{47}$	[4, 5]
11	$M_{13}$	[3, 2]	26	$M_{57}$	[5, 6]
12	$M_{27}$	[7, 6]	27	$M_{35}$	[4, 5]
13	$M_{26}$	[6, 5]	28	$M_{36}$	[3, 4]
14	$M_{25}$	[5, 4]	29	$M_{46}$	[4, 5]
15	$M_{24}$	[4, 3]	30	$M_{34}$	[3, 4]

with two traditional counterparts: a 6th-order filter and a 7th-order filter (Figs. 4b and 4c). The TZs for all three filters are set at  $\{s_{TZ}\} = \{-1.36j, 1.25j\}$ , with a specified return loss of 20 dB. The key difference lies in their synthesis procedures and the resulting coupling matrices. In the traditional filters, the absolute values of coupling coefficients are all less than 1. In contrast, the proposed filter with an out-of-band RZ has couplings greater than 1. Couplings with normalized coupling coefficients exceeding 1 are defined as strong couplings. The quartet possessing such strong couplings constitutes a GSCRQ. Realizing strong coupling between resonant rods requires reducing the distance between adjacent resonant rods. This close arrangement is beneficial for the miniaturization of coaxial combine filters.

The introduction of out-of-band RZ affects not only the coupling coefficients but also the filter's response. Fig. 5 compares the responses of the three filters in Fig. 4. The 7th-order GSCRQ filter with an out-of-band RZ exhibits in-band characteristics similar to the conventional 6th-order filter, but its lower stopband rejection is worse than that of the 6th-order filter. In addition, its upper stopband rejection is slightly better than that of the 6th-order filter but remains worse than that of the seventh-order filter.

The above analysis confirms that an out-of-band RZ affects both the coupling coefficients and filter characteristics. This introduces additional flexibility into the filter synthesis. To demonstrate this, the location of the out-of-band RZ in the 7th-order filter is varied to  $-3.5$  rad/s or  $-6.7$  rad/s. Fig. 6 compares the frequency responses for the original RZ at  $-8.26$  rad/s,  $-3.5$  rad/s, and  $-6.7$  rad/s with these two new locations. The corresponding coupling matrices are given in Fig. 7. Fig. 6 shows that when the out-of-band RZ is closer to the passband, the out-of-band suppression in the near passband will be

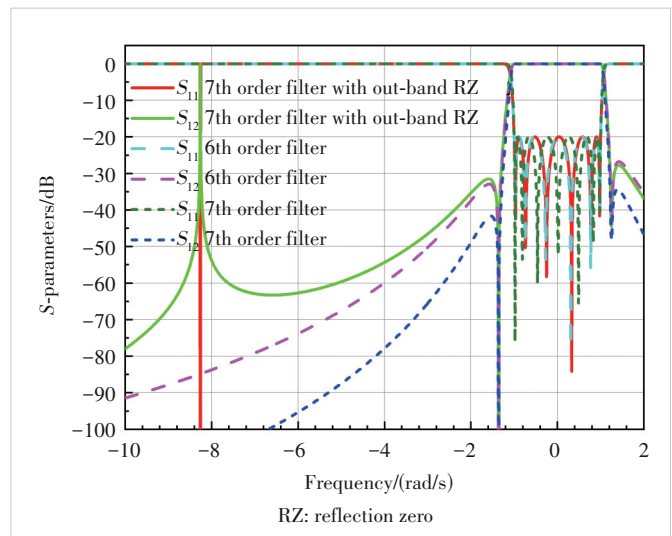
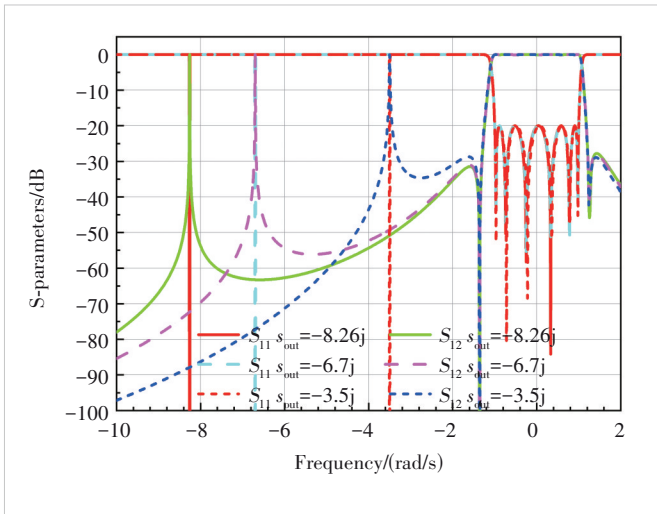
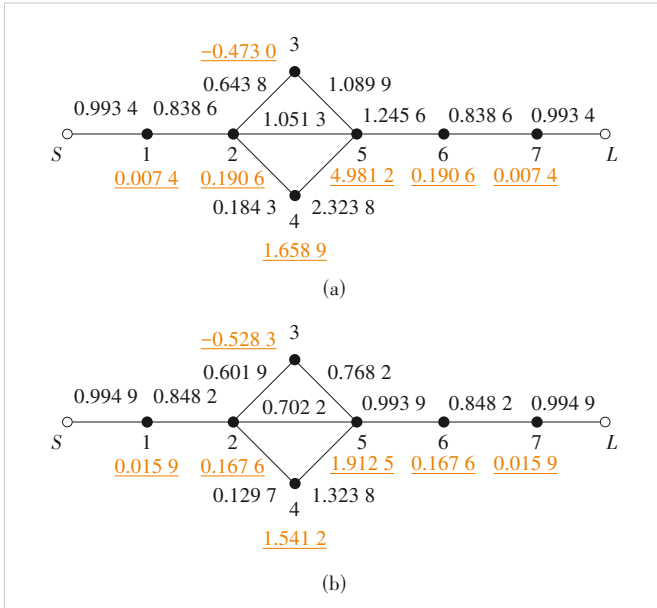


Figure 5. Frequency responses of three filter designs: the 7th-order filter with an out-of-band reflection zero (solid), 6th-order traditional filter (dashed), and 7th-order traditional filter (dotted)



**Figure 6. Frequency responses with different out-of-band RZ locations:**  $s_{out} = -8.26j$  (solid),  $s_{out} = -6.7j$  (dashed), and  $s_{out} = -3.5j$  (dotted)



**Figure 7. 7-2 filter topology with different out-of-band RZ locations:** (a)  $s_{out} = -6.7j$ ; (b)  $s_{out} = -3.5j$

worse, and the couplings in the quartet become weaker. Therefore, in the actual filter design, we can tune the position of the out-of-band RZ to meet the design specifications. However, it should be noted that placing the out-of-band RZ too far from the passband results in excessively strong mutual coupling in the GSCRQ, making it difficult to realize in practice.

### 3 Design Example

To validate the synthesis method, a 6th-order bandpass filter using coaxial resonators is designed. Its physical layout and the coupling topology are shown in Figs. 8a and 8b, respectively. The key design specifications include a center fre-

quency  $f_0 = 2.5$  GHz and a bandwidth  $BW = 200$  MHz.

In previous literature, the design of strongly coupled resonator filters is often performed using the “port tuning” technique<sup>[11]</sup>. This field-circuit co-simulation method uses circuit optimization to tune the filter, which typically requires only a few seconds. In addition, due to dispersion and capacitive loading effects of the resonant rods, a large difference exists between the out-of-band resonance of the simulated response and the ideal response. To make the ideal response consistent with the simulated response, it is generally necessary to optimize a target matrix that takes stray couplings into account. For strongly coupled resonator filters, we propose a novel Model-Based Vector Fitting (MVF)-based method to achieve tuning of strongly coupled filters<sup>[12-13]</sup>. The procedure includes the following five steps:

Step 1: Map the physical frequency to the low-pass domain:

$$\omega = \frac{f_0}{BW} \left( \frac{f}{f_0} - \frac{f_0}{f} \right) \quad (13),$$

where  $f_0$  and  $BW$  are the center frequency and bandwidth of the filter, respectively.

Step 2: De-embed the phase from the simulated  $S$ -parameters by vector fitting<sup>[11]</sup>.

Step 3: Transform phase-corrected  $S$ -parameter to  $Y$ -parameter, and use MVF to approximate the  $Y$ -parameter data<sup>[10]</sup>.

Step 4: Synthesize a transversal coupling matrix from the  $Y$ -parameter rational functions and transform it to the target form.

Step 5: Compare extracted and ideal synthesized matrices, and adjust the dimensions of the filter accordingly.

The second step is crucial for identifying the true poles and zeros of the coupled resonator filter. In a traditional single-passband filter, plotting the poles and zeros of the reflection coefficient on the complex  $s$ -plane reveals that most of the poles and zeros cluster around the origin, whereas a few are located far away. Those near the origin are contributed by the resonators, while the distant ones result from phase loading and feed lines<sup>[13]</sup>. Empirically, for traditional single-passband filters, poles and zeros located beyond four units from the origin are caused by phase loading and feed lines. However, this rule does not apply to strongly coupled resonator filters. Let us take the simulated responses of the EM model in Fig. 8 as an example. Vector fitting (VF) is applied to  $S_{11}$  to obtain an optimal rational approximation. The zeros and poles of the fitting rational functions are listed in Table 3.

If zeros and poles more than four units from the origin (i.e., the 6th, 7th, and 8th in Table 3) are selected to construct the phase factor, a spike appears in its amplitude near  $-11.5$  rad/s, as shown in Fig. 9a. This indicates erroneous phase de-embedding, as the amplitude and phase of the phase factor should be smooth. Corresponding errors are also observed in the de-embedded phase of  $S_{11}$  or  $S_{22}$ . As shown in Fig. 9b, the

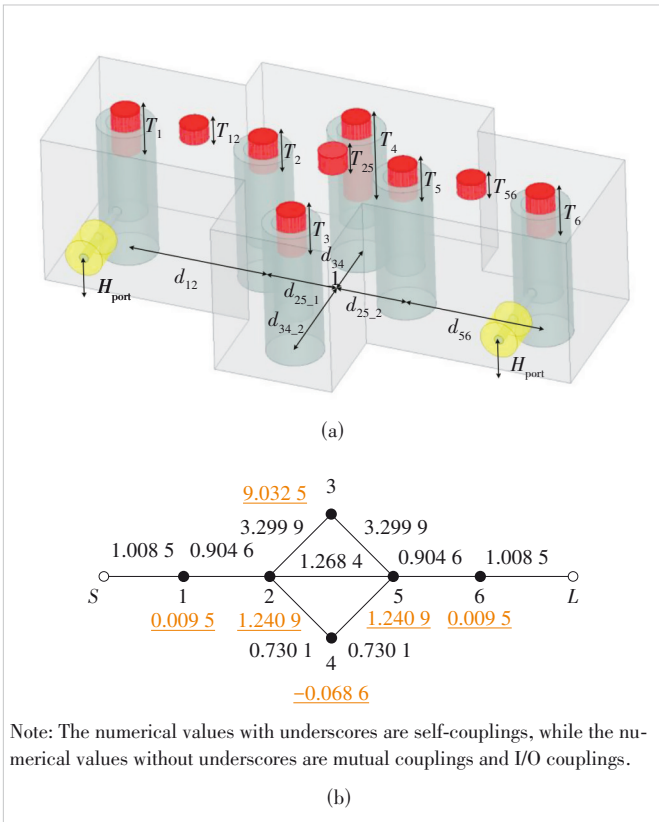


Figure 8. (a) Electromagnetic model of the 6th-order filter with GSCRQ; (b) synthesis results of GSCRQ of the 6-2 filter with one out-of-band RZ

Table 3. Zeros and poles of VF results for  $S_{11}$

$k$	poles	zeros
1	$-0.6927 + 0.1047i$	$0.0010 + 0.0722i$
2	$-0.5561 - 0.6755i$	$-0.0001 - 0.5302i$
3	$-0.4812 + 0.8057i$	$-0.0005 + 0.6576i$
4	$-0.18053 - 1.0735i$	$-0.0007 - 0.8897i$
5	$-0.15641 + 1.1491i$	$0.0003 + 1.0002i$
6	$-0.0091 - 11.5032i$	$0.0000 - 11.5031i$
7	$1.1322 + 14.0879i$	$1.6645 + 13.9279i$
8	$-26.4681 + 3.6164i$	$26.5428 + 3.4637i$

phases of  $S_{11}$  and  $S_{22}$  are very smooth in the lower stopband, which is correct for traditional filters. However, for a strongly coupled resonator filter with an out-of-band RZ, the phases of  $S_{11}$  and  $S_{22}$  should exhibit abrupt changes, not smoothness. Therefore, we speculate that the wrong phase factor offsets the original mutation, making the phases of  $S_{11}$  and  $S_{22}$  smooth after phase de-embedding.

To obtain the correct phase factor, the 6th pole-zero pair is attributed to the filter itself rather than to phase loading and transmission lines, yielding the phase factor shown in Fig. 10a. The phase no longer changes suddenly around  $-11.5$  rad/s,

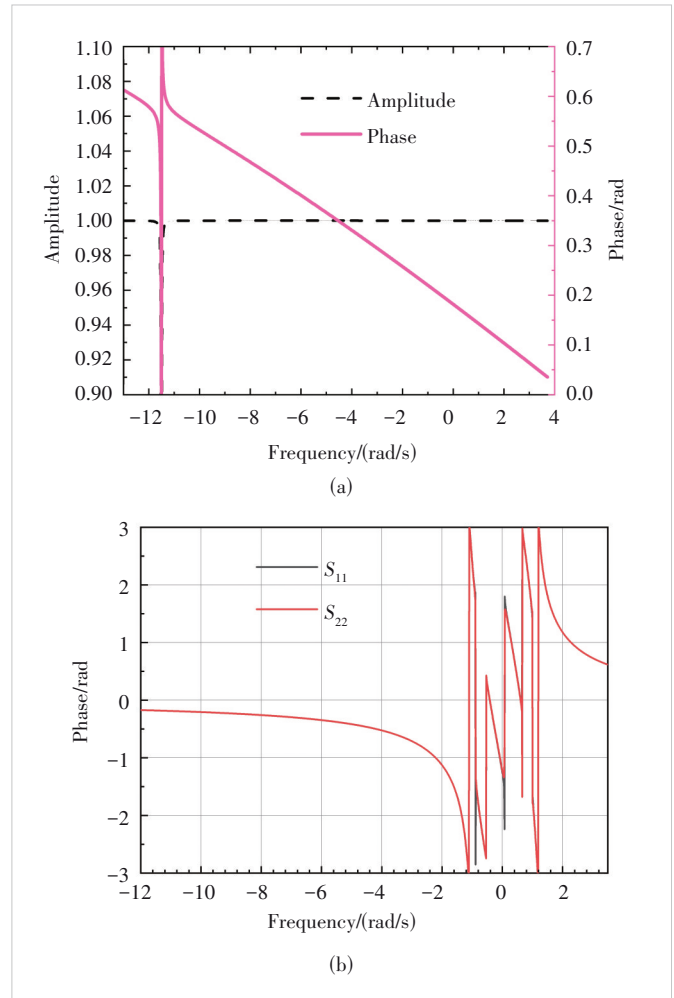


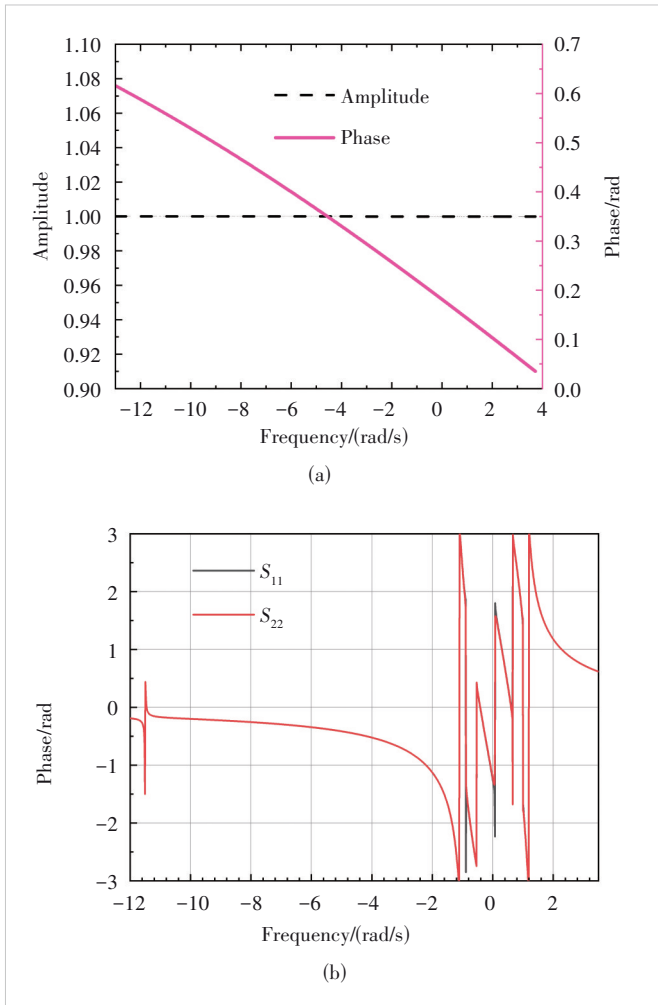
Figure 9. Incorrect phasing de-embedding: (a) amplitude and phase of the phase factor  $\alpha$ ; (b)  $S_{11}$  and  $S_{22}$  after phase removal

which proves that this phase factor is physically consistent. In addition, Fig. 10 shows the  $S$ -parameter phase after phase de-embedding, where the out-of-band RZ now correctly appears at  $-11.5$  rad/s. Based on extensive experiments, we recommend that for strongly coupled filters, the threshold for phase de-embedding is set to

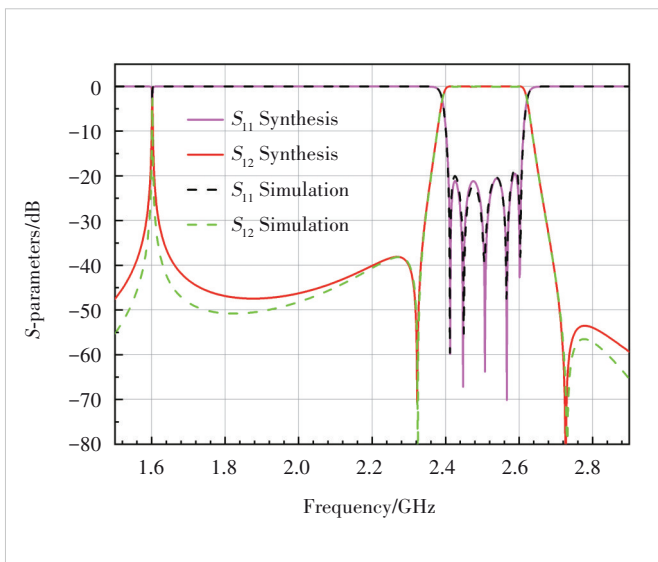
$$\text{Thresh} = \min(s_{\text{out}}) - 1 \quad (14)$$

where  $\min(s_{\text{out}})$  is the minimum out-of-band RZ.

After phase de-embedding, MVF is used to fit a 7th-order polynomial of the  $Y$ -parameters (Step 3). A transversal coupling matrix is then synthesized and transformed to the target form (Step 4). By comparing the extracted and ideal synthesized matrices, the filter dimensions are adjusted accordingly (Step 5), enabling rapid tuning to meet the target specifications. The simulation results with ideal lossless materials are shown in Fig. 11, where dashed lines are simulation data, and solid lines are the ideal synthesis responses. The input



**Figure 10. Correct phase de-embedding: (a) amplitude and phase of the phase factor  $\alpha$  and (b)  $S_{11}$  and  $S_{22}$  after phase removal**



**Figure 11. Simulated results using High Frequency Structure Simulator (HFSS) and ideal synthesis responses of the filter**

feed comes from a coaxial cable whose inner and outer radii are 0.5 mm and 2 mm, respectively. The coaxial cable is filled with a dielectric with a relative permittivity  $\epsilon_R = 2.2$ . The inner and outer radii of the resonant rods are 2 mm and 3 mm and the height of the enclosure box is 16.5 mm. Other detailed dimensions are shown in Table 4.

**Table 4. Dimensions of the filter in Fig. 8**

Resonator ID	$T_1$	$T_2$	$T_3$	$T_4$	$T_5$	$T_6$	$T_{12}$	$T_{25}$	$T_{56}$
Final/mm	4.70	3.55	9.10	4.7	3.55	4.70	1.70	2.00	2.00
Dimension	$d_{12}$	$d_{25,1}$	$d_{25,2}$	$d_{34,1}$	$d_{34,2}$	$H_{port}$			
Final/mm	1.77	7.55	7.55	6.40	11.43	6.32			

## 4 Conclusions

In this article, synthesis and design of filters with GSCRQs are presented. The GSCRQ enables the generation of two TZs without requiring negative couplings. Its work mechanism is analyzed based on a circuit model for the first time. A complete filter design procedure is demonstrated, including the coupling matrix synthesis and EM model tuning. The full-wave EM simulation results show excellent agreement with the theoretical predictions, thereby validating the theory of filters with GSCRQ.

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## New Member of ZTE Communications Editorial Board



**Guo Yike** is the Provost of the Hong Kong University of Science and Technology (HKUST), China; a Chair Professor in both the Department of Computer Science and Engineering and the Department of Electronic and Computer Engineering at HKUST; and also serves as the Director of the Hong Kong Generative AI Research and Development Center, China. He is a world-renowned computer scientist who has led several large-scale AI and data science research projects in China (including both Mainland and Hong Kong), the UK and other European countries. He was the Founding Director of the Data Science Institute at Imperial College London, UK, one of its seven Global Institutes, as well as the Vice President (Research and Development) at Hong Kong Baptist University, China. He is a Fellow of the Royal Academy of Engineering (FREng), a member of Academia Europaea (MAE), a Fellow of the Hong Kong Academy of Engineering Sciences (FHKEng), a Fellow of the Institute of Electri-

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Professor Guo was awarded the Outstanding Contribution Award of the 2022 Wu Wenjun Artificial Intelligence Science and Technology Award, China, which is considered to be the highest award for Chinese AI science and technology. In June 2025, Professor Guo was named “Leader of the Year 2024” in the Education/Professional/Technology and Innovation category from Sing Tao News Corporation, Hong Kong, China, for his transformative contributions to education and technological innovation in Hong Kong. In November of the same year, Professor Guo was elected as an international member of the Chinese Academy of Engineering (CAE) in recognition of his exceptional contributions to the Information and Electronics Engineering Division. The CAE is the nation's highest academic institution in engineering science and technology, and membership is considered the highest lifelong academic honor in the field.